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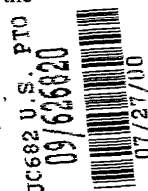
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Pursuant to 37 CFR 1.53(b), transmitted herewith for filing is the patent application of

Inventor(s): Hiroteru TSUCHIYA

Title: "MANAGEMENT OBJECT PROCESS UNIT"

Priority Claim (35 U.S.C. 119) is made, based upon:

Japan No. 11-213896 July 28, 1999

Enclosed herewith are:

- ☒ Specification (Description, Claims, Abstract): Pages 1 - 47 ; Number of claims 1 - 18
- ☒ Declaration and Power of Attorney ☒ executed; ☐ unexecuted (supplied for information purposes)
- ☒ 9 Sheets of drawings, Figures 1 - 19 ☒ Formal ☐ Informal
- ☒ Assignment and "Patents" Recordation Form Cover Sheet (PTO-1595) AND \$40. RECORDATION FEE.
- ☒ Certified copy (ies) of priority document(s) identified above
- ☒ Information Disclosure Statement; ☒ Form PTO-1449
- ☐ Preliminary Amendment
- ☐ Verified Statement(s) Claiming Small Entity Status
- ☒ Receipt Postcard

	Number Filed		Number Extra	Rate		Calculations
Total Claims	<u>18</u>	-20 =	<u>0</u>	x \$18.00 =		\$ _____
Independent Claims	<u>2</u>	- 3 =	<u>0</u>	x \$78.00 =		\$ _____
MULTIPLE DEPENDENT CLAIMS				+ \$260.00 =		\$ _____
				BASIC FEE		\$ 690.00
				Total of above Calculations		\$ <u>690.00</u>

To the extent not tendered by check, authorization is given to charge any fees under 37 CFR 1.16 and 1.17 during pendency of the application, or to credit any overpayment, to Deposit Account No. 06-1378. Duplicate copy of this letter is enclosed.

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TITLE OF THE INVENTION

MANAGEMENT OBJECT PROCESS UNIT

CROSS-REFERENCE TO RELATED APPLICATIONS

5 This application is based upon and claims the benefit of priority from the prior Japanese Patent Application No. 11-213896, filed July 28, 1999, the entire contents of which are incorporated herein by reference.

BACKGROUND OF THE INVENTION

10 The present invention relates to a network management system for managing a managed device via, for example, a network, and more particularly to a management object process unit incorporated in the managed device as an agent, and a machine readable
15 recording medium having a management object process program recorded thereon.

In the network management system, generally, a host device is connected to a managed device, such as, for example, a printer, a facsimile, or a computer via
20 a network, such as a LAN (Local Area Network). Management is performed on the basis of mainly SNMP (Simple Network Management Protocol) commands transmitted and received between a manager incorporated in the host device and the agent incorporated in the
25 managed device. The agent receives a request transmitted in the form of the SNMP command from the manager and transmits a response for the request in the

form of the SNMP command to the manager. In the transmission and reception of the SNMP commands, a communication protocol such as a TCP/IP is utilized.

5 The SNMP command from the manager requests a management object such as structure information, status information, or the like. The agent collects the management object for this request from the managed device to transmit the response containing this management object. However, when the agent or the
10 managed device is busy, there is a case in which a long elapse of time is required before transmission of the response for the request, or no response is transmitted due to the property of the protocol. Thus, the manager transmits the same request again if the response is not
15 received within a predetermined period of time. When this request is frequently repeated, the network traffic increases.

Jpn. Pat. Appln. KOKAI Publication No. 9-259062 discloses an agent capable of solving the problem
20 described above. This agent is constituted so as to collect a plurality of management objects from the managed device in advance, store them in a memory device, and retrieve the management object requested by an SNMP manager from this memory device so that
25 effective collection time for the management object is reduced to attain a quick response.

With the agent of the publication, all management

objects are unconditionally collected in advance and stored in the memory device. This structure permits that the total collection time becomes longer according to an increase in the number of management objects. If
5 collection of all the management objects cannot be completed while the managed device is not busy, some of the management objects are not stored in the memory device before the manager requests collection thereof. This causes a problem that the management objects are
10 not effectively collected for the load of the agent. Further, the process for collecting the management objects in advance is independently executed of the process for transmitting a response for the request issued from the SNMP manager. This causes another
15 problem that a complicated structure is required for the agent.

BRIEF SUMMARY OF THE INVENTION

It is an object of the present invention to provide a management object process unit and a machine
20 readable recording medium having a management object process program recorded thereon, each of which can prevent an increase of a load by optimizing the number of management objects requiring quick response to a collection request.

25 According to the present invention, there is provided a management object process unit which comprises a control processing section for performing a

control of selectively collecting a plurality of
management objects from a managed device, and a memory
section for storing the management objects collected
from the managed device, wherein the control processing
5 section includes an object managing section, having
items of classification data for classifying the
plurality of management objects respectively, for
collecting those of the management objects in advance
which are classified to a specific type by the
10 classification data to store the collected management
objects in the memory section, for checking, at a time
of receiving an object collection request, the
classification data for a management object requested
by the object collection request, for retrieving the
15 management object confirmed by a check result as being
of the specific type from the memory section to
transmit the retrieved management object, and for
collecting the management object confirmed by the check
result as being of a type other than the specific type
20 from the managed device to transmit the collected
management object.

According to the present invention, there is also
provided a machine readable recording medium having a
program of a management object process recorded thereon,
25 which process comprises steps of: collecting those of
the management objects in advance which are classified
to a specific type by items of classification data to

store the collected management objects in a memory section; checking, at a time of receiving an object collection request, the classification data for a management object requested by the object collection request; retrieving the management object confirmed by a check result as being of the specific type from the memory section to transmit the retrieved management object; and collecting the management object confirmed by the check result as being of a type other than the specific type from the managed device to transmit the collected management object.

With the management object process unit and the machine readable recording medium having a management object process program, only the management objects of a specific type can be collected in advance from the managed device and stored in the memory section. Therefore, the total collection time is shortened as compared with the case where the management objects of a type other than the specific type are collected in advance in addition to the management objects of the specific type. Accordingly, collection of the management objects to be stored in advance in the memory section can be easily completed within the time period during which the managed device is not busy. In addition, in a case where collection of the management object of the specific type is requested and this management object is stored in the memory section, this

management object is retrieved from the memory section and transmitted as a response. Accordingly, the network traffic can be prevented from increasing due to repetition of the collection request for this management object.

Additional objects and advantages of the invention will be set forth in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and obtained by means of the instrumentalities and combinations particularly pointed out hereinafter.

BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING

The accompanying drawings, which are incorporated in and constitute a part of the specification, illustrate presently preferred embodiments of the invention, and together with the general description given above and the detailed description of the preferred embodiments given below, serve to explain the principles of the invention.

FIG. 1 is a block diagram showing the arrangement of a network management system according to a first embodiment of the present invention;

FIG. 2 is a diagram showing the structure of a SNMP command transmitted and received between an SNMP manager and an SNMP agent shown in FIG. 1;

FIG. 3 is a block diagram showing the structure of

a control processing section shown in FIG. 1;

FIG. 4 is a block diagram showing the structure of an object managing section shown in FIG. 2;

FIG. 5 is a diagram showing the structure of a management table shown in FIG. 4;

FIG. 6 is a diagram for explaining classification data shown in FIG. 5;

FIG. 7 is a diagram showing response processing rules for classified types set in the processing rule record section shown in FIG. 4;

FIG. 8 is a flowchart showing in detail a management object process performed by a control of the control processing section shown in FIG. 1;

FIG. 9 is a diagram showing a first modification of the management table shown in FIG. 5;

FIG. 10 is a diagram showing a second modification of the management table shown in FIG. 5;

FIG. 11 is a diagram showing response processing rules set in a processing rule record section incorporated into a SNMP agent in a network management system according to a second embodiment of the present invention;

FIG. 12 is a flowchart showing in detail a management object process performed by a control of a control processing section in the network management system according to the second embodiment;

FIG. 13 is a diagram showing the structure of a

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management table incorporated into an SNMP agent in a network management system according to a third embodiment of the present invention;

FIG. 14 is a diagram for explaining the
5 classification data shown in FIG. 13;

FIG. 15 is a diagram showing response processing rules set in a processing rule record section in the network management system according to the third embodiment;

10 FIGS. 16A and 16B are a flowchart showing in detail a management object process performed by a control of a control processing section in the network management system according to the third embodiment;

FIG. 17 is a diagram showing a modification of the management table shown in FIG. 13;
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FIG. 18 is a diagram for explaining classification data shown in FIG. 17; and

FIG. 19 is a block diagram showing a modification that the SNMP agent shown in FIG. 1 is formed of a
20 network card having an agent program.

DETAILED DESCRIPTION OF THE INVENTION

A network management system according to the first embodiment of the present invention will be described with reference to FIGS. 1 to 8.

25 FIG. 1 shows the arrangement of the network management system. In the network management system, a host device 11 such as a computer is connected to a

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managed device 13 such as a printer, a facsimile,
another computer or the like, via a network such as a
LAN. Management is performed on the basis of SNMP
(Simple Network Management Protocol) commands
5 transmitted and received between an SNMP manager 12
incorporated in the host device 11 and an SNMP agent 14
incorporated in the managed device 13. The SNMP
manager 12 is constituted by a hardware resource of the
host device 11, which includes a CPU, a memory and a
10 communication interface and is controlled by means of a
manager program. The SNMP agent 14 is constituted by a
hardware resource of the managed device 13, which
includes a CPU, a memory and a communication interface
and is controlled by means of an agent program. The
15 SNMP agent 14 receives a request transmitted from the
SNMP manager 12 in the form of the SNMP command and
transmits a response for the request to the SNMP
manager 12 in the form of the SNMP command. In the
transmission and reception of the SNMP commands, a
20 communication protocol such as a TCP/IP is utilized.

FIG. 2 shows a field format of the SNMP command.
That is, the field of the SNMP command is constituted
so as to include a command type, a request index, and a
management object identifier. The command type
25 specifies one of commands such as GET, GETNEXT, SET,
TRAP, and the like. The request identifier specifies
whether it is a command request or a command response.

The management object identifier specifies a device address and a management object referred to by the command. Here, the GET is a command for requesting collection of a management object, the GETNEXT is a
5 command for requesting collection of the next management object, the SET is a command for requesting setting of a management object, and the TRAP is a command for requesting confirmation of a management object collected when the managed device 13 becomes in
10 a predetermined state.

As shown in FIG. 1, the SNMP agent 14 includes an SNMP command executing section 15, an MIB (Management Information Base) processing section 16, and a control
15 processing section 17. The SNMP command executing section 15 executes SNMP commands other than those of an object collection request. The MIB processing section 16 collects a management object specified by the SNMP command of the object collection request from the managed device 13 and processes the management
20 object into an MIB format. The control processing section 17 performs a control process of controlling the SNMP command executing section 15 and the MIB processing section 16 based on the SNMP command.

As shown in FIG. 3, the control processing section
25 17 includes a command analyzing section 18 and an object managing section 19. The command analyzing section 18 analyzes the SNMP command received from the

SNMP manager 12, notifies the object managing section 19 of the management object identifier when the SNMP command is the type in which collection of the management object is requested, such as the GET command or the GETNEXT command, and sends the SNMP command to the SNMP command executing section 15 when the SNMP command is the type in which collection of the management object is not requested, such as the SET command and the TRAP command. The object managing section 19 manages the management object collected in the MIB processing section 16.

As shown in FIG. 4, the object managing section 19 includes a management table 20, a processing rule record section 21, a table managing section 22, and a response processing section 23. The management table 20 and the processing rule record section 21 are held in a memory of the managed device 13.

The management table 20 is composed of plural sets of table information each including the management object identifier, a collected management object, attribute data, and a classification data. The attribute data and the classification data are stored as management information for a management object, which is identified by the management object identifier. The attribute data represents, for example, a collection time, an access frequency, a value change frequency, and the like indicating an attribute of a

management object. The processing rule record section 21 has records of response processing rules for responding to collection requests of management objects according to the classified types thereof. The table managing section 22 has a structure of performing a table managing process having a process of determining classification data for management objects based on attribute data for the management objects respectively and a process of reading classification data stored in the management table 20 for a management object corresponding to the management object identifier supplied from the command analyzing section 18 and supplying the classification data to the response processing section 23. The response processing section 23 has a structure of collecting the management object according to a response processing rule recorded in the processing rule record section 21 for the type classified by the classification data from the table managing section 22 and transmitting a response containing the management object to the SNMP manager 12. That is, if the control processing section 17 receives a SNMP command such as the GET or GETNEXT command, the command analyzing section 18 first identifies that it is the SNMP command of the type requesting collection of a management object based on the command type and takes out the management object identifier of this SNMP command. Then, the table managing section 22 refers to

the management table 20 to determine the type
classified by the classification data for the
management object identified based on the management
object identifier taken out by the command analyzing
5 section 18. Next, the response processing section 23
refers to the processing rule record section 21 to
determine the response processing rule corresponding to
this classified type determined by the table managing
section 22 and performs a processing for responding to
10 the collection request for the management object
according to the response processing rule. Finally,
the table managing section 22 updates the management
table 20 based on a result of the response processing.

Here, the management table 20, the processing rule
15 record section 21, the table managing section 22, and
the response processing section 23 will be explained in
detail.

The management table 20 has a structure shown in
FIG. 5, for example. In FIG. 5, each set of table
20 information is composed of the management object
identifier, the management object collection time, the
collected management object, and classification data.
The management object identifier is stored in a memory
area 20a in the form of specifying a device address and
25 a management object similarly to the management object
identifier of the SNMP command. The management object
collection time is stored in a memory area 20b and

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corresponds to the time needed for the MIB processing section 16 to collect the management object. Since the MIB processing section 16 collects various management objects, such as a management object previously held in the managed device 13, a management object that is a result of calculation performed in the managed device 13, or a management object that is a result of processes combined in the managed device 13, the collection times of these management objects are generally different from each other. Accordingly, each collection time is set in advance by actually measuring the time needed to collect corresponding management object and estimating by calculation. The management object is stored in a memory area 20c and corresponds to that collected from the managed device 13 by the MIB processing section 13. The classification data is stored in a memory area 20d and corresponds to a result of comparison in which the collection time of the management object is compared with a reference value substantially equal to an average of the collection times obtained for different management objects, by the table managing section 22. As shown in FIG. 6, the management object is classified to a type A when the collection time is longer than the reference value thereof and is classified to a type B when the collection time is not longer than the reference value thereof.

The processing rule record section 21 has records shown in FIG. 7, for example. The record of the type A represents the response processing rule, "Send a collection request for the management object of the received identifier to the MIB processing section 16".

5 The record of the type B represents the response processing rule, "Send a collection request to the MIB processing section 16 to previously store collected management objects in the management table. Read the management object of the received identifier from the management table 20 to produce a response".

10

FIG. 8 shows a management object process performed by a control of the control processing section 17. When this management object process is started, the control processing section 17 first checks in step S11 whether or not an SNMP command from the SNMP manager 12 is received. When the SNMP command has been received, the command analyzing section 18 analyzes the SNMP command in step S12. That is, a command type is read out from the SNMP command. Based on this command type it is checked in step S13 whether the SNMP command is the GET or GETNEXT command. When it is detected that the SNMP command is not the GET or GETNEXT command, this SNMP command is supplied to the SNMP command

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executing section 15 in step S14. After this, the management object process is finished.

On the other hand, when it is detected in step S13

that the SNMP command is the GET or the GETNEXT command,
the table managing section 22 reads the classification
data stored in the management table 20 for the
management object corresponding to the identifier
5 supplied from the command analyzing section 18 and
supplies it to the response processing section 23 in
step S15.

In the response processing section 23, it is
checked in step S16 whether or not the management
10 object of the classified type A has been requested.
When it is not the management object of the classified
type A, the response processing section 23 reads the
response processing rule corresponding to the
classified type B from the processing rule record
15 section 21 and performs a processing for responding to
the collection request according to the response
processing rule. That is, a collection request for the
management object of the received identifier is sent to
the MIB processing section 16 in step S17. The MIB
20 processing section 16 collects this management object
from the managed device 13 in step S18 and stores it in
the management table 20. Next, in step S19 the
response processing section 23 sends a response command
containing the management object held in the management
25 table 20 to the SNMP manager 12. Then, the management
object process is finished.

When it is detected in step S16 that the

management object of the classified type A has been requested, the table managing section 22 searches the management table 20 for the management object of the received identifier in step S20 to check whether or not this management object has already been collected and stored in the management table 20. When the management object is not stored in the management table 20, the processes of steps S16, S17, S18 are performed one after another similarly to the case of the classified type B, and the management object process is finished. On the other hand, when the management object has been stored in the management table 20, the response processing section 23 reads this management object from the management table 20 in step S21 and sends a response command containing the management object to the SNMP manager 12 in step S19. Then, the management object process is finished.

When it is detected in step S11 that no SNMP command has been received, the table managing section 22 sends a collection request for the management objects of the classified type A to the MIB processing section 16 in step S21. The MIB processing section 16 collects the management objects of the classified type A from the managed device 13, for example, utilizing the time period during which the managed device 13 is not busy and stores respective management objects in the management table 20 in step S22.

As described above, since the SNMP agent 14 has a structure of collecting in advance only the management objects of the type A requiring a long collection time from the managed device 13 by the MIB processing section 16 and holding the management objects in the management table 20, the total collection time is shortened as compared with the case where the management objects of the type B are collected in advance in addition to the management objects of the type A. Thus, collection of the management objects to be stored in advance in the management table 20 can be easily completed within the time period during which the managed device 13 is not busy.

In addition, in a case where collection of the management object of the classified type A requiring a long collection time is requested and this management object has been stored in the management table 20, this management object is retrieved from the management table 20 and quickly transmitted as a response. Since the SNMP manager 12 does not need to repeat the collection request for the management object, the network traffic can be prevented from increasing.

In the present embodiment, although a case where a plurality of management objects are classified based on the collection time thereof is described, the present embodiment is not limited to this case and can be modified variously.

FIG. 9 shows a first modification of the management table 20 shown in FIG. 5. In the management table 20 of this first modification, a memory area 20e is provided to classify the management object based on the value change frequency, instead of the memory area 20b storing the management object collection time shown in FIG. 5. More specifically, sequentially collected n management object values are stored in the memory area 20e as history data values (1) to (n). This area 20e has a ring buffer structure in which the oldest history data value is discarded to store the newest history data value.

The table managing section 22 seeks the number of value changes in the management object during a predetermined time period from the history data values (1) to (n) and compares the number of value changes with a reference value substantially equal to an average of the numbers of value changes obtained for different management objects. The management object is classified to the type A requiring a high frequency value change when the number of value changes is greater than the reference value thereof, and is classified to the type B requiring a low frequency value change when the number of value changes is not greater than the reference value thereof.

Each history data value is stored when the management object is stored in the management table 20

in step S18 and S22 shown in FIG. 8, and at each time, the classification data for the management object is determined based on the frequency of the management object value changes and is stored in the memory area 20d of the management table 20. That is, the management object is determined that the more frequent the value changes are, the higher the necessity for monitoring is and is transmitted to the manager 12 as a response command without requiring a considerable time delay.

As described above, since the SNMP agent 14 has a structure of collecting in advance only the management objects of the type A requiring a high frequency value change from the managed device 13 by the MIB processing section 16 and holding the management objects in the management table 20, the total collection time is shortened as compared with the case where the management objects of the type B are collected in advance in addition to the management objects of the type A. Thus, collection of the management objects to be stored in advance in the management table 20 can be easily completed within the time period during which the managed device 13 is not busy.

In addition, in a case where collection of the management object of the classified type A requiring a high frequency value change is requested and this management object has been stored in the management

table 20, this management object is retrieved from the management table 20 and quickly transmitted as a response. Since the SNMP manager 12 does not need to repeat the collection request for the management object,
5 the network traffic can be prevented from increasing.

FIG. 10 shows the second modification of the management table 20. In the management table 20 of this second modification, a memory area 20f is provided to classify the management object based on the access
10 frequency instead of the memory area 20b storing the management object collection time shown in FIG. 5. More specifically, the number of accesses from the SNMP manager 12 is counted for each predetermined period of time and is sequentially stored in the memory area 20f
15 as the numbers of accesses within n time bands (1) to (n). The number of accesses is increased by "1" each time the collection of the management object is requested. This memory area 20f has a ring buffer structure in which the number of accesses of the oldest
20 time band is discarded to store the number of accesses of the newest time band for each predetermined period time.

The table managing section 22 compares the number of accesses with a reference value substantially equal
25 to an average of the numbers of accesses obtained for different management objects. The management object is classified to the type A requiring a high frequency

access when the number of accesses is greater than the reference value thereof, and is classified to the type B requiring a low frequency access when the number of accesses is not greater than the reference value thereof.

The number of accesses is stored in the memory area 20f of the management table 20 when the management object is stored in step S18 shown in FIG. 8, and at each time, the classification data is determined based on the access frequency and is stored in the memory area 20d of the management table 20.

As described above, since the SNMP agent 14 has a structure of collecting in advance only the management objects of the type A requiring a high frequency access from the managed device 13 by the MIB processing section 16 and holding the management objects in the management table 20, the total collection time is shortened as compared with the case where the management objects of the type B are collected in advance in addition to the management objects of the type A. Thus, collection of the management objects to be stored in advance in the management table 20 can be easily completed within the time period during which the managed device 13 is not busy.

In addition, in a case where collection of the management object of the classified type A requiring a high frequency access is requested and this management

object has been stored in the management table 20, this management object is retrieved from the management table 20 and quickly transmitted as a response. Since the SNMP manager 12 does not need to repeat the collection request for the management object, the network traffic can be prevented from increasing.

The network management system according to the second embodiment of the present invention will be described with reference to FIGS. 11 and 12.

This network management system is constituted similarly to the first embodiment except the following constitution. Therefore, similar parts will be expressed by the same reference numerals and the explanation thereof will be simplified or omitted.

In the second embodiment, the processing rule record section 21 has records shown in FIG. 11. The record of the type A represents the response processing rule, "Send a collection request for management objects of type B to the MIB processing section 16 to store the collected management objects in the management table 20. Thereafter, send a collection request for the management object of the received identifier to the MIB processing section 16". The record of the type B represents the response processing rule, "Read the management object of the received identifier from the management table 20. If it is absent, send a collection request for the management object of the

received identifier to the MIB processing section 16".

As shown in FIG. 12, the management object process is performed by a control of the control processing section 17. When this management object process is started, the command analyzing section 18 analyzes the SNMP command in step S30. That is, a command type is read out from the SNMP command. Based on this command type it is checked in step S31 whether the SNMP command is the GET or GETNEXT command. When it is detected that the SNMP command is not the GET or GETNEXT command, this SNMP command is supplied to the SNMP command executing section 15 in step S32. After this, the management object process is finished.

On the other hand, when it is detected in step S31 that the SNMP command is the GET or the GETNEXT command, the table managing section 22 reads in step S33 the classification data stored in the management table 20 for the management object corresponding to the identifier supplied from the command analyzing section 18 and supplies it to the response processing section 23.

In the response processing section 23, it is checked in step S34 whether or not the management object of the classified type A has been requested. When it is the management object of the classified type A, the response processing section 23 reads the response processing rule corresponding to the

classified type B from the processing rule record
section 21 and performs a processing for responding to
the collection request according to the response
processing rule. That is, the identifiers of the
5 management objects of the classified type B are read
from the management table 20 in step S35, and a
collection request for the management objects of the
classified type B is sent to the MIB processing section
16 in step S36 so as to store the management objects
10 collected herein in the management table 20. Then, in
step S37, a collection request for the management
object of the received identifier is sent to the MIB
processing section 16. When the MIB processing section
16 collects this management object from the managed
15 device 13, the response processing section 23 sends a
response command containing the management object to
the SNMP manager 12 in step S38 and stores the
management object in the management table 20. Then,
the management object process is finished.

20 When it is detected in step S34 that the
management object of the classified type B has been
requested, the table managing section 22 searches the
management table 20 for the management object of the
received identifier in step S40 to check whether or not
25 this management object has already been collected and
stored in the management table 20. When the management
object is not stored in the management table 20, the

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processes of steps S37, S38, S39 are performed one by one similarly to the case of the classified type A, and the management object process is finished. On the other hand, when the management object has been stored in the management table 20, the response processing section 23 reads this management object from the management table 20 in step S38 and sends a response command containing the management object to the SNMP manager 12. In the following step S39, since the management object has already been stored in the management table 20, nothing is taken place, and the management object process is finished.

As described above, since the SNMP agent 14 has a structure of collecting in advance only the management objects of the type A requiring a short collection time from the managed device 13 by the MIB processing section 16 and holding the management objects in the management table 20, the total collection time is shortened as compared with the case where the management objects of the type A are collected in advance in addition to the management objects of the type B. Thus, collection of the management objects to be stored in advance in the management table 20 can be easily completed within the time period during which the managed device 13 is not busy.

In addition, in a case where collection of the management object of the classified type A requiring a

explanation thereof will be simplified or omitted.

The management table 20 of this embodiment has a structure shown in FIG. 13. In this management table 20, a memory area 20f is added to the management table 20 shown in FIG. 5 so as to classify the management object based on the access frequency. More specifically, the number of accesses from the SNMP manager 12 is counted for each predetermined period of time and is sequentially stored in the memory area 20f as the numbers of accesses within n time bands (1) to (n). The number of accesses is increased by "1" each time the collection of the management object is requested. This memory area 20f has a ring buffer structure in which the number of accesses of the oldest time band is discarded to store the number of accesses of the newest time band for each predetermined period of time.

The table managing section 22 compares the collection time and the number of accesses with a reference value substantially equal to an average of the collection times obtained for different management objects and a reference value substantially equal to an average of the numbers of accesses obtained for the different management objects, respectively. As shown in FIG. 14, the management object is classified to the type A requiring a long collection time when the collection time is greater than the reference value

thereof, is classified to the type B requiring a short collection time and a high access frequency when the collection time is not greater than the reference value thereof and the number of accesses is greater than the reference value thereof, and is classified to the type C requiring a short collection time and a low access frequency when the collection time is not greater than the reference value thereof and the number of accesses is not greater than the reference value thereof.

In the third embodiment, the processing rule record section 21 has records shown in FIG. 15. That is, the record of the type A represents the response processing rule, "Send a collection request for management objects of the type B and store the obtained management objects in the management table 20. Thereafter, send a collection request for the management object of the received identifier to the MIB processing section 16". The record of the type B represents the response processing rule, "Read the management object of the received identifier from the management table 20. If it is absent, send a collection request for the management object of the received identifier to the MIB processing section 16". The record of the type C represents the response processing rule, "Send a collection request for the management object of the received identifier to the MIB processing section 16".

As shown in FIGS. 16A and 16B, the management object process is performed by a control of the control processing section 17. When this management object process is started, the command analyzing section 18
5 analyzes the SNMP command in step S40. That is, a command type is read out from the SNMP command. Based on this command type it is checked in step S41 whether the SNMP command is the GET or GETNEXT command. When it is detected that the SNMP command is not the GET or
10 GETNEXT command, this SNMP command is supplied to the SNMP command executing section 15 in step S42. After this the management object process is finished.

On the other hand, when it is detected in step S41 that the SNMP command is the GET or the GETNEXT command,
15 the table managing section 22 reads in step S43 the classification data stored in the management table 20 for the management object corresponding to the identifier supplied from the command analyzing section 18 and supplies it to the response processing
20 section 23.

In the response processing section 23, it is checked in step S44 whether or not the management object of the classified type A has been requested. When it is the management object of the classified type
25 A, the response processing section 23 reads the response processing rule corresponding to the classified type B from the processing rule record

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section 21 and performs a processing for responding to the collection request according to the response processing rule. That is, the identifiers of the management objects of the classified type B are read from the management table 20 in step S45, and a collection request for the management objects of the classified type B is sent to the MIB processing section 16 in step S46 to store the management objects collected herein in the management table 20. Then, a collection request for the management object of the received identifier is sent in step S47 to the MIB processing section 16. When the MIB processing section 16 collects this management object from the managed device 13, the response processing section 23 transmits in step S48 a response command containing the management object to the SNMP manager 12 and store the management object in the management table 20. Then, it is checked in step S50 whether or not management of the access frequency is required. Since the access frequency is managed in this embodiment, the number of accesses is increased by "1" in the management table 20 in step S51, and further the number of accesses is compared in step S52 with the reference value thereof to detect whether the access frequency is high. Next, it is checked in step S53 whether or not management of the value change frequency is required. In this embodiment, although the value change frequency is not

managed, in the case where the value change frequency
is managed, the number of value changes is compared in
step S54 with the reference value thereof to detect
whether the value change frequency is high. After step
5 S54, or in the case where it is detected in step S53
that management of the value change frequency is not
required, the classification data is determined in step
S55 based on results obtained in steps S52 and S54, and
this classification data is stored in the management
10 table 20. After this, the management object process is
finished.

When it is detected in step S44 that the
management object of the classified type A has not been
requested, it is further checked in step S56 whether
15 the management object of the classified type B has been
requested. If it is the management object of the
classified type B, the table managing section 22
searches the management table 20 for the management
object of the received identifier in step S57 to
20 determine whether or not this management object has
already been collected and held in the management table
20. When the management object is not present in the
management table 20, a collection request for the
management object of the received identifier is sent to
25 the MIB processing section 16 in step S58. Then, step
S48 is executed to transmit a response command
containing the management object obtained by the MIB

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processing section 16 to the SNMP manager 12. On the other hand, when the management object is present in the management table 20, the response processing section 23 reads the management object from the management table 20 in step S59, and step S48 is executed to transmit a response command containing the management object to the SNMP manager 12.

When it is not the management object of the classified type B in step S56, a collection request for the management object of the received identifier is sent to the MIB processing section 16 in step S60. Then, step S48 is executed to transmit a response command containing the management object obtained by the MIB processing section 16 to the SNMP manager 12.

As described above, since the SNMP agent 14 has a structure of collecting in advance only the management objects of the type B requiring a short collection time and a high access frequency from the managed device 13 by the MIB processing section 16 and holding the management objects in the management table 20, the total collection time is shortened as compared with the case where the management objects of the types A and C are collected in advance in addition to the management objects of the type B. Thus, collection of the management objects to be stored in advance in the management table 20 can be easily completed within the time period during which the managed device 13 is not

limited to this case and can be modified variously.

FIG. 17 shows a modification of the management table 20 shown in FIG. 13. In the management table 20 of this modification, a memory area 20e is provided to
5 classify the management object based on the collection time and the value change frequency, instead of the memory area 20f storing the access frequency shown in FIG. 13. More specifically, sequentially collected n management object values are stored in the memory area
10 20e as history data values (1) to (n). This area 20e has a ring buffer structure in which the oldest history data value is discarded to store the newest history data value.

The table managing section 22 compares the
15 collection time of the management object with a reference value substantially equal to an average of the collection times obtained for different management objects, and also seeks the number of value changes in the management object during a predetermined time
20 period from the history data values (1) to (n) and compares the number of value changes with a reference value substantially equal to an average of the numbers of value changes obtained for the different management objects. The management object is classified to the
25 type A requiring a long collection time when the collection time is greater than the reference value thereof, is classified to the type B requiring a short

collection time and a high value change frequency when the collection time is not greater than the reference value thereof and the number of value changes is greater than the reference value thereof, and is
5 classified to the type C requiring a short collection time and a low value change frequency when the number of value changes is not greater than the reference value thereof. In this case, the processing rule record section 21 has records shown in FIG. 15.

10 The management object process shown in FIGS. 16A and 16B can be applied to the case where the management table 20 has a structure shown in FIG. 17. That is, it is detected in step S50 that management of the access frequency is not required, and step S53 is executed
15 after step S50 to check whether management of the value change frequency is required. In this modification, since the value change frequency is managed, it is determined in step S54 whether or not the value change frequency is high, and the classification data of the
20 management object is determined in step S55 to store the classification data in the management table 20.

As described above, since the SNMP agent 14 has a structure of collecting in advance only the management objects of the type B requiring a short collection time
25 and a high value change frequency from the managed device 13 by the MIB processing section 16 and holding the management objects in the management table 20, the

total collection time is shortened as compared with the case where the management objects of the types A and C are collected in advance in addition to the management objects of the type B. Thus, collection of the management objects to be stored in advance in the management table 20 can be easily completed within the time period during which the managed device 13 is not busy.

In addition, in a case where collection of the management object of the classified type B is requested and this management object has been stored in the management table 20, this management object is retrieved from the management table 20 and quickly transmitted as a response. Since the SNMP manager 12 does not need to repeat the collection request for the management object, the network traffic can be prevented from increasing.

Further, when the collection of the management object of the classified type A requiring a long collection time is requested, since the management objects of the classified type B requiring a short collection time is collected prior to the collection of the management object of the classified type A, it is not necessary to independently perform as in the prior art the process for storing the management objects in the management table 20 in advance. Incidentally, since the collection time of each management object of the classified type B is short, the management object

of the classified type A can be collected without requiring a considerable time delay.

In the first to third embodiments, although the collection time of the management object is held in the management table 20, the embodiments are not limited to this configuration and can be modified variously. In a case where the control processing section 17 additionally has a function of measuring and monitoring the time for collecting each management object, the collection time of the management object may be set at the time when a result of measurement is obtained. With the constitution described above, it is possible to alter the managed device 13 incorporating the SNMP agent 14 and to flexibly deal with addition and change of the management object.

Further, in the first to third embodiments, although the SNMP agent 14 is obtained by means of an agent program which is incorporated in the memory of the managed device to control the hardware resource of the managed device 13, the embodiments are not necessarily limited to this configuration and can be modified variously.

For example, as shown in FIG. 19, a network card 141 serving as a recording medium of the agent program may be installed into the managed device 13 such as a printer, a facsimile, or a multi-function device made of the combination thereof to attain an agent having a

function of the SNMP command executing section 15, the MIB processing section 16, and the control processing section 17 in the managed device 13. That is, the network card 141 can perform a management object process of receiving a collection request for a management object from the SNMP manager 12 of the host device 11, collecting the management object from the managed device 13 according to the collection request, and transmitting a response containing the management object to the SNMP manager 12. In this case, the structure of the SNMP manager 12 is not restricted depending on those of the SNMP manager 12 and the managed device 13 such as the printer, the facsimile, or the multi-function device, and the network traffic is also reduced. Furthermore, in this modification, the network card 141 serves as a recording medium of the agent program. However, this network card 141 may be replaced by another recording medium such as a disk memory.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details and representative embodiments shown and described herein. Accordingly, various modifications may be made without departing from the spirit or scope of the general inventive concept as defined by the appended claims and their equivalents.

WHAT IS CLAIMED IS:

1. A management object process unit comprising:
a control processing section for performing a
control of selectively collecting a plurality of
5 management objects from a managed device; and
a memory section for storing the management
objects collected from said managed device;
wherein said control processing section includes
an object managing section, having items of
10 classification data for classifying the plurality of
management objects respectively, for collecting those
of the management objects in advance which are
classified to a specific type by the classification
data to store the collected management objects in said
15 memory section, for checking, at a time of receiving an
object collection request, the classification data for
a management object requested by the object collection
request, for retrieving the management object confirmed
by a check result as being of the specific type from
20 said memory section to transmit the retrieved
management object, and for collecting the management
object confirmed by the check result as being of a type
other than the specific type from said managed device
to transmit the collected management object.
- 25 2. A management object process unit according to
claim 1, wherein said items of classification data
items are stored in said memory section as management

3. A management object process unit according to claim 2, wherein said each management information includes attribute data representing an attribute of a corresponding management object, and each classification data is determined based on a corresponding attribute data.

15 5. A management object process unit according to
claim 3, wherein said attribute data includes a
collection time required for collecting the management
object, and said object managing section includes a
processing section for classifying management objects
20 requiring a short collection time to the specific type,
and collecting the management objects of the specific
type from said managed device to store the management
object in said memory section before collecting a
management object of the type other than the specific
25 type.

6. A management object process unit according to claim 3, wherein said attribute data includes a

collection time required for collecting the management object, an access frequency of a collection request for the management object, said object managing section includes a processing section for classifying
5 management objects requiring a short collection time and a high access frequency to the specific type, and collecting the management objects of the specific type from said managed device to store the management objects in said memory section before collecting a
10 management object requiring a long collection time.

7. A management object process unit according to claim 3, wherein said attribute data includes a collection time required for collecting the management object, a value change frequency of the management
15 object, said object managing section includes a processing section for classifying management objects requiring a short collection time and a high value change frequency to the specific type, and collecting the management objects of the specific type from said
20 managed device to store the management objects in said memory section before collecting a management object requiring a long collection time.

8. A management object process unit according to claim 4, wherein said object managing section includes
25 a processing section for updating, at the time of receiving a object collection request, the attribute data for the management object requested by the object

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collection request, and determining the classification data for the management object based on a result of update.

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5 9. A management object process unit according to claim 1, wherein said object managing section includes a processing section, having a plurality of response processing rules for classified types which are classified by the items of classification data, for selecting one of the response processing rule based on
10 the classification data for the management object requested by the object collection request to produce a response to the object collection request according to the selected response processing rule.

15 10. A machine readable recording medium having a program of a management object process recorded thereon, said process comprising steps of:

20 collecting those of the management objects in advance which are classified to a specific type by items of classification data to store the collected management objects in a memory section;

 checking, at a time of receiving an object collection request, the classification data for a management object requested by the object collection request;

25 retrieving the management object confirmed by a check result as being of the specific type from the memory section to transmit the retrieved management

object; and

collecting the management object confirmed by the
check result as being of a type other than the specific
type from the managed device to transmit the collected
5 management object.

11. A recording medium according to claim 10,
wherein said items of classification data items are
stored in said memory section as management information
which are respectively identified by a plurality of
10 management object identifiers.

12. A recording medium according to claim 11,
wherein said each management information includes
attribute data representing an attribute of a
corresponding management object, and each
15 classification data is determined based on a
corresponding attribute data.

13. A recording medium according to claim 12,
wherein said attribute data includes at least one of a
collection time required for collecting the management
20 object, an access frequency of a collection request for
the management object, and a value change frequency of
the management object.

14. A recording medium according to claim 12,
wherein said attribute data includes a collection time
25 required for collecting the management object, and said
management object process includes a process for
classifying management objects requiring a short

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collection time to the specific type, and collecting the management objects of the specific type from said managed device to store the management object in said memory section before collecting a management object of the type other than the specific type.

15. A recording medium according to claim 12, wherein said attribute data includes a collection time required for collecting the management object, an access frequency of a collection request for the management object, said management object process includes a process for classifying management objects requiring a short collection time and a high access frequency to the specific type, and collecting the management objects of the specific type from said managed device to store the management objects in said memory section before collecting a management object requiring a long collection time.

16. A recording medium according to claim 12, wherein said attribute data includes a collection time required for collecting the management object, a value change frequency of the management object, said management object process includes a process for classifying management objects requiring a short collection time and a high value change frequency to the specific type, and collecting the management objects of the specific type from said managed device to store the management objects in said memory section

before collecting a management object requiring a long collection time.

17. A recording medium according to claim 13,
wherein said management object process includes a
5 process for updating, at the time of receiving a object
collection request, the attribute data for the
management object requested by the object collection
request, and determining the classification data for
the management object based on a result of update.

10 18. A recording medium according to claim 10,
wherein said management object process includes a
process for selecting one of response processing rules
for classified types which are classified by the items
of classification data, based on the classification
15 data for the management object requested by the object
collection request to produce a response to the object
collection request according to the selected response
processing rule.

An agent includes a control processing section for performing a control of selectively collecting a plurality of management objects from a managed device, and a memory section for storing the management objects collected from the managed device. Particularly, the control processing section includes an object managing section, having a plurality of classification data items for classifying the plurality of management objects respectively, for collecting those of the management objects in advance which are classified to a specific type by the classification data to store the collected management objects in the memory section, for checking, at a time of receiving an object collection request, the classification data item for a management object requested by the object collection request, for retrieving the management object confirmed by a check result as being of the specific type from the memory section to transmit the retrieved management object, and for collecting the management object confirmed by the check result as being of a type other than the specific type from the managed device to transmit the collected management object.

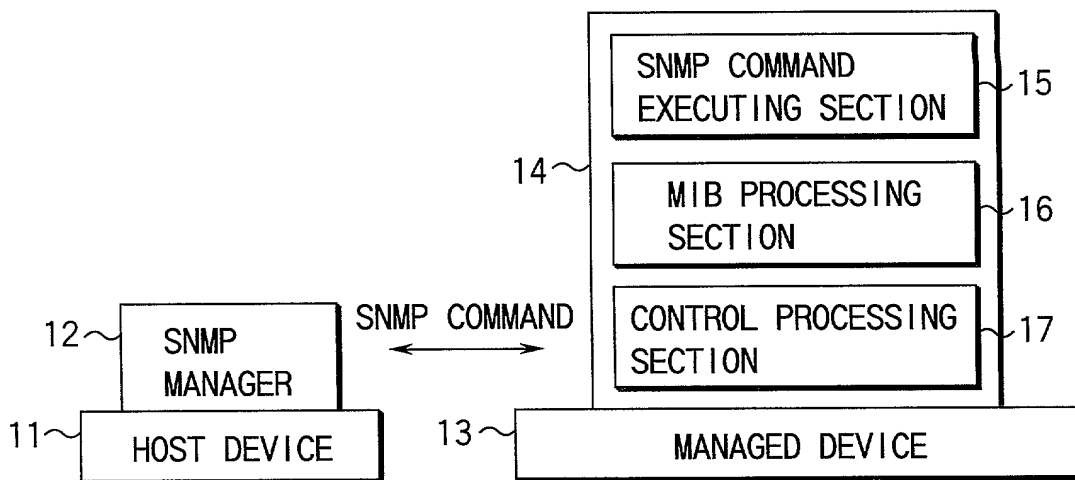


FIG. 1

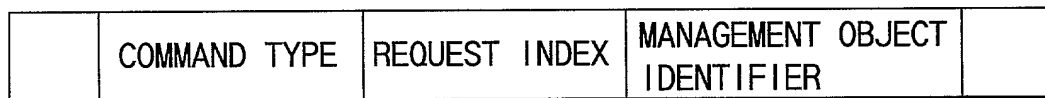


FIG. 2

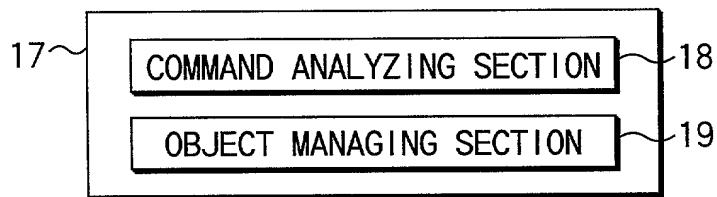


FIG. 3

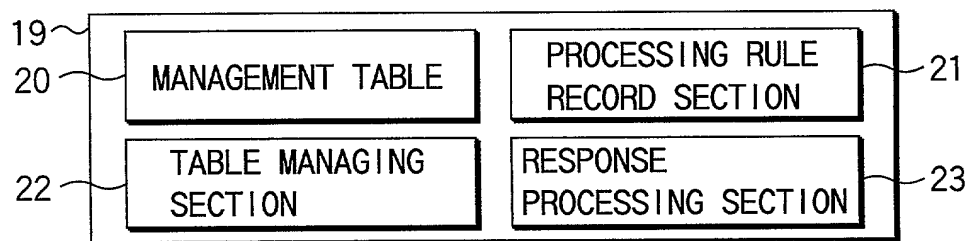


FIG. 4

20a MANAGEMENT OBJECT IDENTIFIER	20b MANAGEMENT OBJECT COLLECTION TIME	20c MANAGEMENT OBJECT	20 20d CLASSIFICATION DATA
XX.XX.XX	XX m sec	50	TYPE B
-----	-----	-----	-----
-----	-----	-----	-----
-----	-----	-----	-----

FIG. 5

LONG COLLECTION TIME	CLASSIFICATION DATA
○(=YES)	TYPE A
×(=NO)	TYPE B

FIG. 6

TYPE A	SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION.
TYPE B	SEND COLLECTION REQUEST TO MIB PROCESSING SECTION TO PREVIOUSLY STORE COLLECTED MANAGEMENT OBJECTS IN MANAGEMENT TABLE. READ MANAGEMENT OBJECT OF RECEIVED IDENTIFIER FROM MANAGEMENT TABLE TO PRODUCE RESPONSE.

FIG. 7

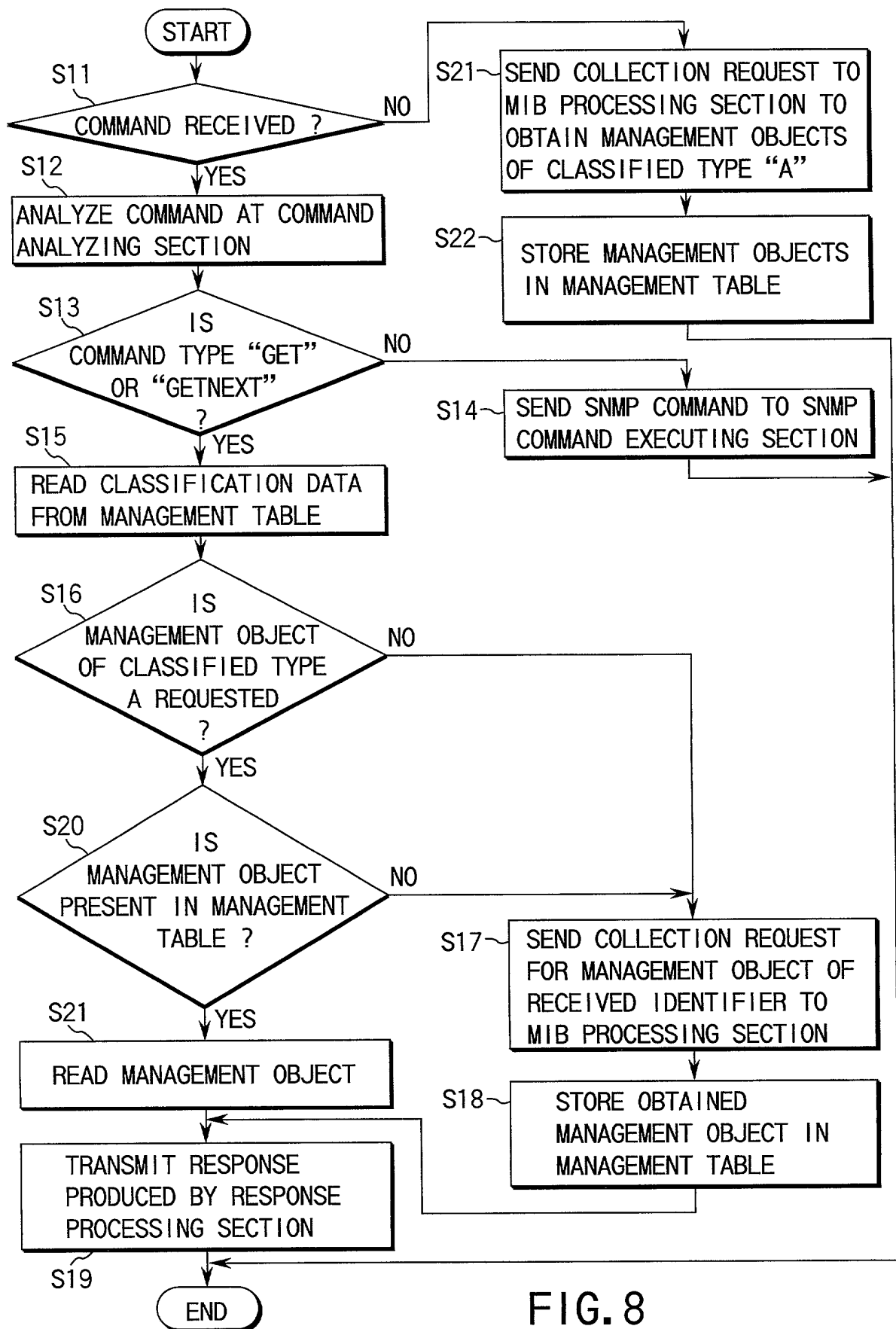


FIG. 8

20a MANAGEMENT OBJECT IDENTIFIER	20e DATA VALUE (1)	----	DATA VALUE (n)	20 20c MANAGEMENT OBJECT	20d CLASSIFICATION DATA

FIG. 9

20a MANAGEMENT OBJECT IDENTIFIER	20c MANAGEMENT OBJECT	20 20f NUMBER OF ACCESSES IN TIME (1)	----	NUMBER OF ACCESSES IN TIME (n)	20d CLASSIFICATION DATA

FIG. 10

TYPE A	SEND COLLECTION REQUEST FOR MANAGEMENT OBJECTS OF TYPE B TO MIB PROCESSING SECTION TO STORE COLLECTED MANAGEMENT OBJECTS IN MANAGEMENT TABLE. THEREAFTER, SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION.
TYPE B	READ MANAGEMENT OBJECT OF RECEIVED IDENTIFIER FROM MANAGEMENT TABLE. IF IT IS ABSENT, SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION.

FIG. 11

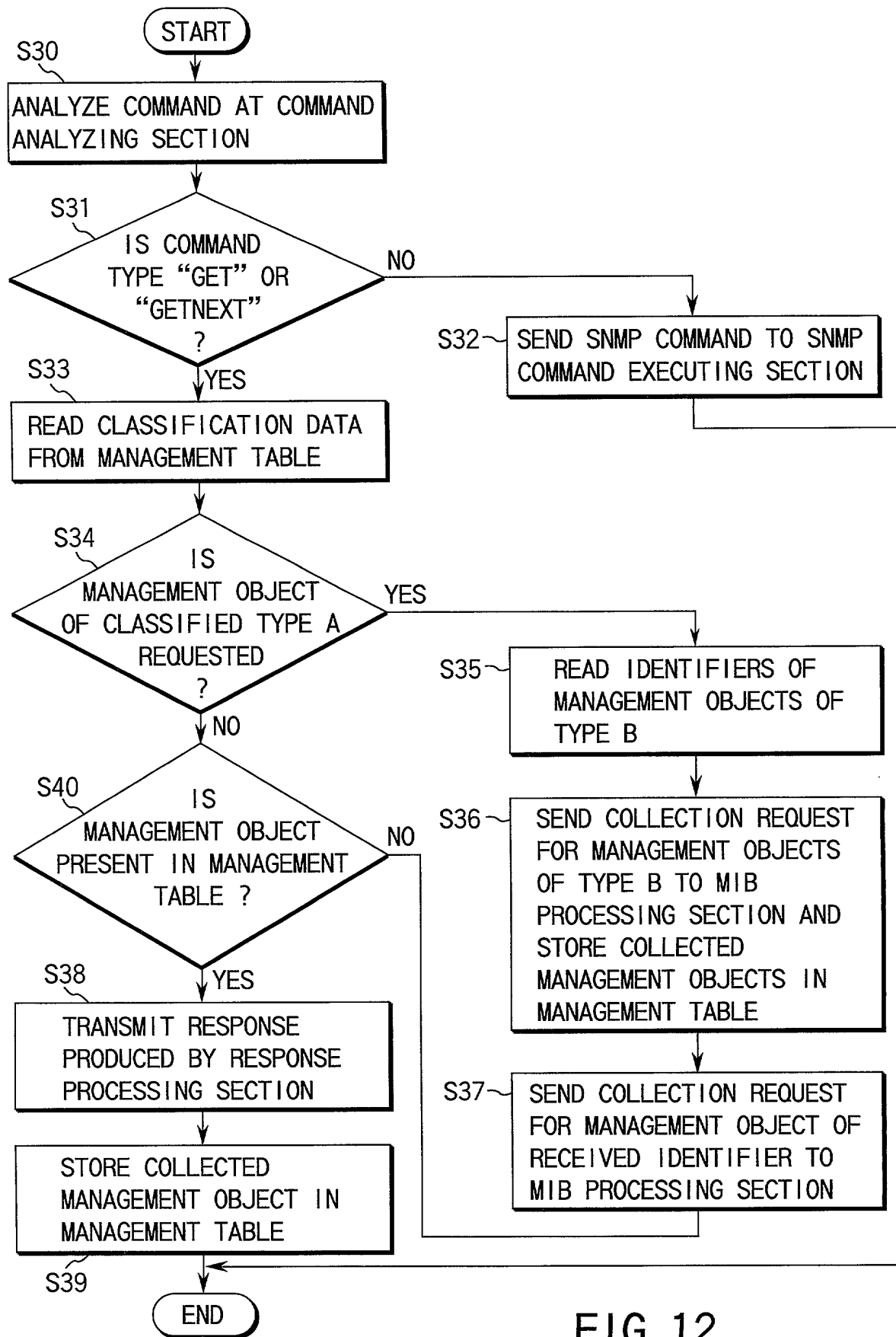


FIG. 12

20a MANAGEMENT OBJECT IDENTIFIER	20b MANAGEMENT OBJECT COLLECTION TIME	20c MANAGEMENT OBJECT	20f NUMBER OF ACCESSES IN TIME (1) --- NUMBER OF ACCESSES IN TIME (n)		20d CLASSIFI- CATION DATA

FIG. 13

LONG COLLECTION TIME	HIGH ACCESS FREQUENCY	CLASSIFICATION DATA
○	○	TYPE A
○	×	TYPE A
×	○	TYPE B
×	×	TYPE C

○=YES ×=NO

FIG. 14

TYPE A	SEND COLLECTION REQUEST FOR MANAGEMENT OBJECTS OF TYPE B TO MIB PROCESSING SECTION AND STORE MANAGEMENT OBJECTS IN MANAGEMENT TABLE. THEREAFTER, SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION.
TYPE B	READ MANAGEMENT OBJECT OF RECEIVED IDENTIFIER FROM MANAGEMENT TABLE. IF IT IS ABSENT, SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION.
TYPE C	SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION.

FIG. 15

```

graph TD
    START([START]) --> S40[ANALYZE COMMAND AT COMMAND ANALYZING SECTION]
    S40 --> S41{IS COMMAND TYPE "GET" OR "GETNEXT"?}
    S41 -- NO --> S42[SEND SNMP COMMAND TO COMMAND EXECUTING SECTION]
    S42 --> END([END])
    S41 -- YES --> S43[READ CLASSIFICATION DATA FROM MANAGEMENT TABLE]
    S43 --> S44{IS MANAGEMENT OBJECT OF CLASSIFIED TYPE A REQUESTED?}
    S44 -- YES --> S45[READ IDENTIFIERS OF MANAGEMENT OBJECTS OF CLASSIFIED TYPE B FROM MANAGEMENT TABLE]
    S45 --> S46[SEND COLLECTION REQUEST FOR MANAGEMENT OBJECTS OF TYPE B TO MIB PROCESSING SECTION AND STORE OF COLLECTED MANAGEMENT OBJECTS IN MANAGEMENT TABLE]
    S46 --> S47[SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION]
    S47 --> P3((P3))
    S44 -- NO --> S56{IS MANAGEMENT OBJECT OF CLASSIFIED TYPE B REQUESTED?}
    S56 -- YES --> S47
    S56 -- NO --> S60[SEND COLLECTION REQUEST FOR MANAGEMENT OBJECT OF RECEIVED IDENTIFIER TO MIB PROCESSING SECTION AND PROCESS COLLECTION RESULT]
    S60 --> P1((P1))
    S47 --> P2((P2))

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FIG. 16A

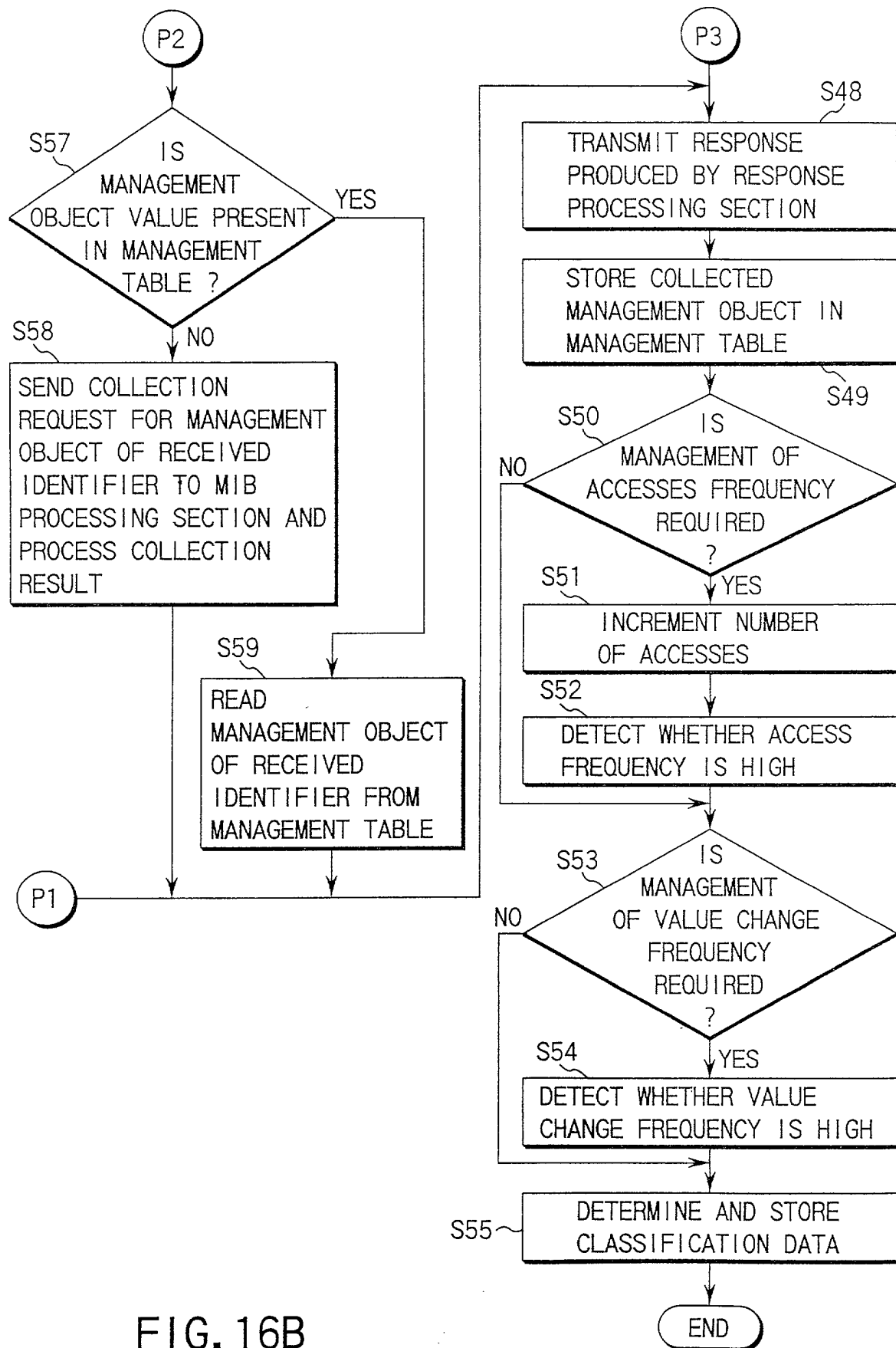


FIG.16B

20a MANAGEMENT OBJECT IDENTIFIER	20b MANAGEMENT OBJECT COLLECTION TIME	20c MANAGEMENT OBJECT	20e 20 DATA VALUE (1) --- DATA VALUE (n)			20d CLASSIFICATION DATA

FIG. 17

LONG COLLECTION TIME	HIGH VALUE CHANGE FREQUENCY	CLASSIFICATION DATA
○	○	TYPE A
○	×	TYPE A
×	○	TYPE B
×	×	TYPE C

○=YES ×=NO

FIG. 18

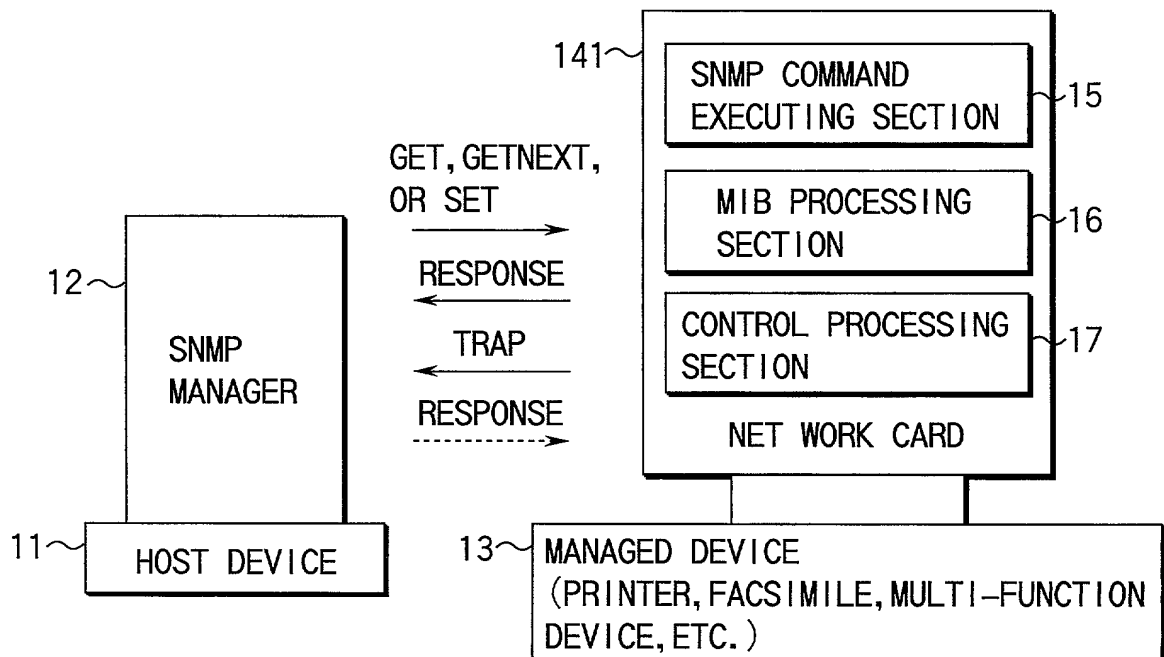


FIG. 19

DECLARATION FOR PATENT APPLICATION

00S0587

As a below named inventor, I declare:
that I verily believe myself to be the original, first and sole (if only one individual inventor is listed below) or an original, first and joint inventor (if more than one individual inventor is listed below) of the invention in

MANAGEMENT OBJECT PROCESS UNIT

the specification of which is attached hereto unless the following box is checked.

☐ was filed on _____ as United States Application
or PCT International Application No. _____, and
was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information of which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365 (b) of any foreign application(s) for patent or inventor's certificate, or 35 U.S.C. 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Category</u>	<u>Application No.</u>	<u>Filing Date</u>	<u>Priority Claim</u>
Japan	Patent	11-213896	July 28, 1999	Yes

And I hereby appoint Leonard Holtz (Reg.No. 22,974), Herbert H. Goodman (Reg.No. 17,081), Thomas Langer (Reg.No. 27,264), Marshall J. Chick (Reg.No. 26,853), Richard S. Barth (Reg.No. 28,180), Douglas Holtz (Reg.No. 33,902) and Robert P. Michal (Reg.No. 35,614) each of whose address is 767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023, or any one of them, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent & Trademark Office connected therewith, and request that correspondence be directed to Frishauf, Holtz, Goodman, Langer & Chick, P.C., 767 Third Avenue - 25th Floor, New York, N.Y. 10017-2023.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I declare further that my citizenship, residence and post office address are as stated below next to my name:

Inventor: (Signature)

Date _____

Residence and post office address

Date: July 11, 2000

4-61, Yanagicho, Numazu-shi,
Shizuoka-ken, Japan

Citizen of: Japan

Hiroteru Tsuchiya

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan

Date:

Citizen of: Japan